



सत्यमेव जयते

**OFFICE OF THE CONTROLLER GENERAL OF
PATENTS, DESIGNS AND TRADE MARKS**

RESULTS-FRAMEWORK DOCUMENT (RFD)

FOR

THE YEAR -2011-12

SECTION 1: Vision, Mission, Objectives and Functions

Vision

To transform the Indian Intellectual Property Office to an efficient and transparent office maintaining highest standards.

Mission

- Complete electronic processing of Patent, Designs and Trade Marks applications
- Online availability on real-time basis of records and details of all proceedings, under the Patents, Designs and Trade Marks Acts
- Up gradation of IT infrastructure to enable complete e-filing of applications and other documents
- Augmentation of human resources and improving their skills to enable speedy disposal of IP applications
- Creation of awareness on Intellectual Property

Objectives

- 1) Increase the output of examination of Patent applications
- 2) Achieve complete e-filing of documents relating to patent applications
- 3) Value addition and IPC based re-classification of patent database
- 4) Increase the output of examination of Design applications
- 5) Achieve e-filing of design application
- 6) Increase the output of examination of Trade Marks applications
- 7) Complete the digitization of Trade Marks records
- 8) Achieve complete e-filing of Trade Marks applications
- 9) Increase the output of examination of Geographical Indications applications
- 10) Conduct Awareness Programs

Functions

The office of the Controller General of Patents, Designs and Trade Marks administers the Patents Act 1970, The Trade Marks Act 1999, The Designs Act 2000 and The Geographical Indications of Goods (Protection and Registration) Act 1999. CGPDTM also advises the government on matters relating to intellectual property rights. The Patent Information System and the National Institute of Intellectual Property Management that come under the CGPDTM disseminate information and impart training on intellectual property. The offices under the CGPDTM grant Patents and register Trade Marks, Industrial Designs & Geographical Indications as well as carry out the post grant and post registration activities.

SECTION 2 – Inter se priorities among key objectives, success indicators and targets

Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
<p>1)Increase the output of examination of Patent applications</p> <p>2)Achieve complete e-filing of documents relating to patent applications</p> <p>3)Value addition and IPC based re-classification of patent database</p>	30	1)No. of First Examination Reports issued	15000 reports to be issued	No. of reports	10	15000	13500	12000	10500	9000
		2)No. of request for examination disposed {granted + refused + abandoned u/s 21(1) }	12000 disposals	No. of disposal	10	12000	10800	9600	8400	7200
		3) e-filing of all documents of patent applications	Launch of facility	Time	5	31.12.2011	31.01.12	28.2.2012	15.3.2012	30.3.2012
		4)Value addition and IPC based re-classification of patent database	Completing the value addition	Time	5	1.3.2012	7.3.2012	14.3.2012	21.3.2012	31.3.2012

4) Increase the output of examination of Design applications 5) Achieve e-filing of design application	20	1) No. of designs to be registered	Registration of 6500 designs	No. of designs registered	15	6500	5850	5200	4550	3900
		2) e-filing of designs application	Launching the facility	Time	5	31.12.2011	31.01.12	28.2.2012	15.3.2012	30.3.2012
6) Increase the output of examination of Trade Marks applications 7) Complete the digitization of Trade Marks	25	1) No. of Trade Marks applications examined	150000 applications to be examined	No. of applications to be examined	8	150000	135000	120000	105000	90000
		2) No. of trade marks registered	100000 to be registered	No. registered	5	100000	90000	80000	70000	60000
		3) Complete e-filing of TM applications	Launch of the facility	Time	5	31.12.2011	31.01.12	28.2.2012	15.3.2012	30.3.2012

records 8) Achieve complete e-filing of Trade Marks applications		4)Digitisation of Trade Marks records	Complete the digitization	%age of digitization completed	5	100%	90%	80%	70%	60%
		6)TM opposition cases disposed	15000 cases to be disposed	No. of cases	1	15000	13500	12000	10500	9000
		7)TM assignment matters disposed	25000 requests to be disposed	No. of cases	1	25000	22500	20000	17500	15000
9) Increase the output of registration of Geographical Indications applications	15	2)Registration of GI	25 GI to be registered	No. registered	15	25	23	20	18	15
10) Conducting awareness programs	10	1)Conducting IP awareness programs	Conducting 16 programs	No. of programs conducted	10	16	14	12	10	8

SECTION 3 – TREND VALUES OF SUCCESS INDICATORS

Objective	Weight	Actions	Success Indicator	Unit	Weight	Actual/Target/Criteria Value			
						Actual value for FY 08-09	Actual value for FY 09/10	Actual value for FY 10/11	Target value for FY 11/12
1) Increase the output of examination of Patent applications 2) Achieve complete e-filing of documents relating to patent applications 3) Value addition and IPC based re-classification of patent database	30	1)No. of First Examination Reports issued	15000 reports to be issued	No. of reports	10	10296	5880	11206	15000
		2)No. of request for examination disposed { granted + refused + abandoned u/s 21(1) }	12000 disposals	No. of disposal	10	N.A	N.A	14535	12000
		3) e-filing of all documents of patent applications	Launch of facility	Time	5	E-filing facility for application launched in 2007	N.A.	New Activity	Launch of facility
		4)Value addition and IPC based re-classification of patent			5	New Activity	New Activity	New Activity	

		database							
4) Increase the output of examination of Design applications 5) Achieve complete e-filing of design application	20	1) No. of designs to be registered	Registration of 6500 designs	No. of designs	15	4772	6025	6506	6000
		2) Complete e-filing of designs applications	Launching the facility	Time	5	New Activity	New Activity	New Activity	Launching the facility
6) Increase the output of examination of Trade Marks applications 7) Complete the digitization of Trade Marks records 8) Achieve	25	1) No. of Trade Marks applications examined	150000 applications to be examined	No. of applications to be examined	8	105219	25875	205065	150000
		2) No. of trade marks registered	100000 to be registered	No. registered	5	102257	67490	115472	100000
		3) Complete e-filing of TM applications	Launch of the facility	Time	5	E-filing facility for application launched in 2007	N.A.	New Activity	Launch of facility

complete e-filing of Trade Marks applications		4)Digitisation of Trade Marks records	Complete the digitization	%age of digitization completed	5	N.A	N.A	80%	100%
		6)TM opposition cases disposed	5000 cases to be disposed	No. of cases	1	N.A	N.A	4000	5000
		7)TM assignment matters disposed	10000 requests to be disposed	No. of cases	1	N.A	N.A	3000	25000
9)Increase the output of examination of Geographical Indications applications	15	2)Registration of GI	20 GI to be registered	No. registered	10	45	14	29	20
		3)GI examination	Up-to-date	Up-to-date	5	up-to-date	up-to-date	up-to-date	up-to-date
10)Conducting awareness programs	10	1)Conducting IP awareness programs	Conducting 16 programs	No. of programs conducted	10	11	14	12	16

SECTION 4: Description and definition of success indicators and proposed measurement methodology.

The measurement methodology for success indicators is based on the following:

- 1) In case of the parameters where the success indicators relate to finalization, launching of a facility and making up-to-date any pending work the completion/finalization has been considered.

- 2) In case of indicators which relate to quantifiable work, the success indicator is taken as the quantity, say, number of reports, number of pages digitized etc
- 3) In case of parameters where the success indicators relate to digitization, %age has been considered

SECTION 5: Specific performance requirements from other departments that are critical for delivering agreed results.

Department	Action	Success Indicator	Result of non performance
National Informatics Centre	To develop and launch the software for complete e-filing of patent application	Launch of facility by 31.1.2012	Delay in launching the facility
	To develop and launch the software for complete e-filing of Trade Marks application	Launch of facility by 31.1.2012	Delay in launching the facility
	To develop and launch the software for complete e-filing of designs application	Launch of facility by 31.1.2012	Delay in launching the facility
Outsourced vendor for value addition of patent database	Completing the value addition and re-classification	Completion by 1.3.2012	Work will be carried forward to next year